

General Fund Operating Budget
1998/99 Sources and Uses of Funds

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Executive Summary of Changes in Revenues
1998/99 General Fund Sources & Uses and Approved Unmet Needs

Section 1: Base Budget Summary of Changes

1998-99 New Fund - Base	0
Less:	
97-98 General Fund Imbalance (50%)	0
98-99 CSU Mandated Uses	0
98-99 Cal Poly Pre-Commitments	0
98-99 Unmet Needs-Base	-626,671
 Total, 1998-99 Base Uses	 -626,671
 1998-99 General Fund Imbalance	 -626,671
1998-99 Budget Reduction effective 7/1/98	159,887
 1998-99 General Fund Balance- Base	 (466,784)

Section 2: One-Time Funds Budget Summary

1997-98 Balance Forward - One Time (Operating)	2,249,339
 97-98 General Fund-Base Imbalance (50%) (#2)	 0
98-99 Cal Poly Pre-Commitments, One-time	-267,365
98-99 Unmet Needs, One-time	-714,108
 Total, 1998-99 One-time Uses	 -981,473
 1998-99 General Fund Balance, One-time (Operating)	 1,267,866
 1998-99 CSU One-time Allocations - Special initiatives	
Library	-
Instructional Replacement Equipment	492,600
Academic Technology (#1)	1,365,000
Physical Plant Maintenance	987,600
Teacher Preparation	173,600
Additional Enrollment	76,000
Approved Uses of these funds (See One-Time Special Initiaives)	(670,000)
 Total, 1998-99 CSU One-time Allocations	 2,424,800
 Total, One-time Funds to be Allocated	 3,692,666

#1 This plan assumes \$1,000,000 need for Unix and IO upgrades - use \$280,000 of one-time campus funds, \$220,000 of the 98-99 Academic Technology one-time allocation (\$987,600) and financing the balance of \$500,000 over 3 years.

#2 It is assumed that effective 7/1/99 this base budget imbalance will be eliminated with an allocation of 98-99 new base revenues.

1998-99 Summary of General Fund Budget Allocation by Division						
<i>Description</i>	<i>1998/99 Preliminary Base</i>		<i>1998/99 New Funds</i>		<i>1998/99 Final allocations @ 9/2/98</i>	<i>%</i>
Summary:						
Academic Affairs	90,640,967	65.3%	328,392	30.8%	90,969,359	64.7%
Financial Aid	5,916,972	4.3%	24,416	0.9%	5,941,388	4.2%
ITS	9,316,591	6.7%	638,228	32.9%	9,954,819	7.2%
Admin and Finance	14,564,588	10.5%	69,417	4.6%	14,634,005	10.4%
Utilities	3,680,418	2.7%	0	0.0%	3,680,418	2.6%
Special Repair	1,119,000	0.8%	283,000	11.0%	1,402,000	1.0%
University Advancement	1,813,659	1.3%	13,655	0.6%	1,827,314	1.3%
Student Affairs	7,253,570	5.2%	93,342	4.0%	7,346,912	5.2%
Executive Management	1,545,654	1.1%	28,900	3.2%	1,574,554	1.2%
Cal Poly Plan	1,513,755	1.1%	21,735	0.8%	1,535,490	1.1%
Risk Pool	1,474,479	1.1%	289,000	11.2%	1,763,479	1.2%
	138,839,653		1,790,085		140,629,738	
Compensation/Benefits Adj	3,787,402		5,650,200		9,437,602	***
Contingency	500,000		0		500,000	
Unallocated Base	-1,698,896		849,462		-849,434	
Total	141,428,159		8,289,747		149,717,906	
Revenue	-32,031,019		446,537		(\$31,584,482)	
	109,397,140		8,736,284		118,133,424	
***98-99 Compensation not allocated yet.						

1998-99 CSU One-time Allocations for Special Initiatives

Sources

Library	492,600	
Instructional Replacement Equipment	1,365,000	
Academic Technology	987,600	
Physical Plant Maintenance	1,360,000	
Teacher Preparation Additional Enrollment	249,600	
Total 1998-99 CSU One-time Sources		4,454,800

Uses

Acad Tech - Unix Contribution	220,000	
Acad Tech - Faculty Workstations	250,000	
Intstr Repl Eq - One-time Enrollment	200,000	
Total 1998-99 CSU One-time Uses		670,000

Balance

Library	492,600	
Instructional Replacement Equipment	1,165,000	
Academic Technology	517,600	
Physical Plant Maintenance	1,360,000	
Teacher Preparation Additional Enrollment	249,600	
Total 1998-99 CSU One-time Balance		3,784,800

Summary of 1998/99 Sources and Uses Process

(Based on Final Budget Allocation BP 98-05)

("Scenario B" - 50% of \$1.7M imbalance funded from Base)

(Postpone 50% of perm cut to 1999/2000)

Line No.	1998-99			Future Commitments			Line No.	
	Base Funds	1998-99 One-Time Funds	Total	1999-2000	2000-2001	2001-2002		
1	Changes to Base (**) (Exhibit A)	\$ (382,677)	\$ -	\$ (382,677)	(177,420)	(44,000)	229,000	1
2	Changes to Non-Recurring (Exhibit B)	\$ -	\$ 1,981,974	\$ 1,981,974	(678,000)	(63,000)	-	2
3	"Scenario B" 50% of imbalance funded from Base	\$ 849,461	\$ (849,461)	\$ -				3
4	Adjusted 1998-99 Net Sources and Uses	\$ 466,784	\$ 1,132,513	\$ 1,599,297	(855,420)	(107,000)	229,000	4
5								5
6	Current Total of Unmet Needs Requests (Exhibit C)	\$ 626,671	\$ 714,108	\$ 1,340,779				6
7								7
8	Current Balance of 98-99 S&U	\$ (159,887)	\$ 418,405	\$ 258,518				8
9								9
10	Total 98-99 Budget Reduction (eff. 7/1/98)	\$ 159,887	\$ -	\$ 159,887				10
11								11
12	Adjusted Balance of 98-99 S&U (Line 8 - 10)	\$ (0)	\$ 418,405	\$ 418,405				12

13

13

** 1999-2000 revenue includes the estimated increase based on a 2% enrollment increase over 98-99 budgeted enrollment targets of 15,520. The additional 2% enrollment FTE is estimated to be 310. Based on the 98-99 funding level of \$5,833 per FTE the estimated additional revenue would be \$1,808,230. (See Exhibit A for detail)

Changes to the 1998/99 Base Budget Process

				Future Commitments			
				1999-2000	2000-2001	2001-2002	
1 Sources							1
2 CSU Changes to Base	Base as of 7/1/98						2
3 Allocated State Tax Revenues (B 98-05)	141,428,159	8,823,128	**	1,808,230			3
4 1998-99 SUG Adjustment		-236,000					4
5 1997-98 Benefit Adjustment		<u>149,200</u>					5
6 Total, CSU Revenue Increase			8,736,328				6
7 Cal Poly Plan: Academic Fee Revenue (\$45/qtr.)		21,735					7
8 Other Campus Revenues and Reimbursements	(32,031,018)	<u>-728,688</u>					8
9 Total, Campus Revenue Adjustment			-706,953				9
10 Cal Poly Unscheduled Base							10
11 97/98 Unscheduled Base Budget		-1,698,922		(849,461)		229,000	11
12 Total, Unscheduled Base			<u>-1,698,922</u>				12
13 Total Sources			6,330,453	958,769	-	229,000	13
14 Uses, Mandated for CSU							14
15 Compensation Increases		5,501,000					15
16 Physical Plant Maintenance	1,119,000	283,000					16
17 1998-99 Sug Adjustment		-236,000					17
18 1997-98 Benefit Adjustment		149,200					18
19 Risk Pool	1,474,479	289,000					19
20 Technology Allocation	0	<u>272,000</u>					20
21 Total, CSU Mandated Uses			6,258,200				21
22 Uses, Cal Poly							22
23 Reaffirm Continuing Commitments							23
24 Cal Poly Plan		21,735					24
25 Faculty Work Stations	653,000	100,000					25
26 IBM Lease Payment (#1 of 3)		229,000					26
27 Unix/IO Upgrades (4 installments) (Footnote #1)				631,612	44,000	-	27
28 Director Foundation Corporate and Finance		0		150,000			28
29 Sports Complex-Operations, Maintenance & Utilities	0	88,195		254,577			29
30 Advanced Technology Lab (Maint and Utilities)		0		100,000			30
31 Total, Continuing Commitments			438,930				31
32 Centrally Administered Budgets							32
33 None	-	0					33
34 Total, Centrally Administered Budgets			0				34
35 Full Year Cost for Prior Year Commitments							35
36 University Relations		<u>16,000</u>					36
37 Total, Full Year Costs			16,000				37
38 Legal Judgements/Settlements							38
39 None		<u>0</u>					39
40 Total, Legal Judgements/Settlements			0				40
41 Total, Cal Poly Pre-committed Uses			454,930				41
42 Total Pre-Committed Uses			6,713,130	1,136,189	44,000	-	42
43 Net Base Sources and Uses available for 1998/99 Unmet Needs			-382,677	(177,420)	(44,000)	229,000	43

**1999-2000 revenue reflects the estimated increase based on a 2% enrollment increase over 98-99 budgeted enrollment target of 15,520. The additional 2% e

#1 This plan assumes \$3,000,000 need for UNIX and IO upgrades - use \$280,000 of one-time campus funds, \$220,000 of the 98-99 Academic Technology one-ti

Changes to the 1998/99 One-time Funds (Operating)

		Future Commitments			
		1999-2000	2000-2001	2001-2002	
1	Sources				1
2	Projected 1997-98 Year-end Balances				2
3	Contingency Balance				3
4	97-98 Compensation Balance				4
5	97-98 Excess Revenue Balance (Scheduled)				5
6	96-97 Misc General Fund Balances				6
7	97-98 University Advancement General Fund Balance				7
8	97-98 Student Affairs General Fund Balance				8
9	97-98 Misc General Fund Balances				9
10	97-98 Cal Poly Plan				10
11	Total 1997-98 Year-end Balances	2,249,339			11
12					12
13	Uses, Cal Poly				13
14	Reaffirm Continuing Commitments				14
15	Sports Complex - Maintenance Equipment	170,625			15
16	UCTE/Student Affairs Liaison (C. Wallace)	80,038			16
17	Cal Poly Plan	16,702			17
18	BSR/Campaign	0	478,000	63,000	18
19	Total, Continuing Commitments	267,365	478,000	63,000	19
20	Centrally Administered Budgets				20
21	Whale Rock Capital Cost	0	200,000		21
22	Total, Centrally Administered Budgets	0	200,000	-	22
23	Full Year Cost for Prior Year Commitments				23
24		0			24
25	Total, Full Year Costs	0	-	-	25
26	Legal Judgements/Settlements				26
27		0	-	-	27
28	Total, Legal Judgements/Settlements	0	-	-	28
29	Total Pre-Committed Uses	267,365	678,000	63,000	29
30	Net One-Time Sources and Uses available for 1998-99 Unmet Needs	1,981,974	(678,000)	(63,000)	30

Approved 1998/99 Campus Allocations for Unmet Needs

(By President Baker 8/31/98)

Line No.	Description	Base Budget Adjustmen	One Time Allocation	Totals	Budget Location, Comments	Line No.
1	<i>University CMS Project</i>					1
2	BPR Consultant	0	0	0		2
3	Campus Staffing - Programming Support	0	0	0		3
4	Campus Staffing - User Staffing Backfil	0	0	0		4
5	Readiness Assessment	0	0	0		5
6	Data Conversion	0	0	0		6
7	CMS Project Director	0	0	0		7
8	Infrastructure Support	0	0	0		8
9	Software/Maintenance	0	0	0		9
10	subtotal, University CMS Project	0	0	0	Alloc of approx \$1.9M deferred	10
11	<i>Academic Affairs</i>					11
12	Fire/Intrusion Alarm Technician (70%)	0	0	0	\$55,500 Deferred Maintenance	12
13	Y2K Programming	0	58,976	58,976		13
14	I/O Upgrades/UNIX Lease Payment (Footnote #1)	0	280,000	280,000	Comb w/\$220,000 of Acad Tech	14
15	Faculty Instructional Development	50,000	0	50,000		15
16	Marching Band	0	50,000	50,000		16
17	Technology Support for WASC	0	29,132	29,132	2-yr Commitment	17
18	Athletics Gender Equity (Title 9 Compliance)	45,000	0	45,000	\$44,000 funded from compensation	18
19	Admissions and Recruitment	100,000	0	100,000		19
20	Enrollment Growth	300,000	0	300,000	\$200,000 to IER One-Time	20
21	subtotal, Academic Affairs	495,000	418,108	913,108		21
22	<i>University Advancement</i>					22
23	Director of Corporate/Foundation Relations	0	0	0	99-2000 funding, \$150K from State	23
24	Centennial Campaign/BSR	0	167,000	167,000	Additional cost in future years	24
25	subtotal, University Advancement	0	167,000	167,000	\$167,000 97-98 balance applied	25
26	<i>Student Affairs</i>					26
27	Rose Float	10,000	0	10,000		27
28	Psychological Services - Video Camera	0	0	0		28
29	Disabled Resource Center - Van	0	19,000	19,000	\$14,748 97-98 balance applied	29
30	Ombudsman, Compliance and Equity Programs Offices	92,771	10,000	102,771		30
31	Health Services - replace equipment	0	0	0		31
32	Career Services - Software License	0	0	0		32
33	Assessment and Testing - Completion of Office Remodel	0	0	0		33
34	subtotal, Student Affairs	102,771	29,000	131,771		34
35	<i>Administration and Finance</i>					35
36	Maintenance for Emergency Generators	0	0	0	\$30,000 Deferred Maintenance	36
37	University Master Plan	0	100,000	100,000	Additional cost in future years	37
38	subtotal, Administration and Finance	0	100,000	100,000		38
39	<i>President's Staff</i>					39
40	University Summer Grant Program	0	0	0	\$25,000 Foundation	40
41	Executive Management	28,900	0	28,900		41
42	subtotal, President's Staff	28,900	0	28,900		42
43	Totals, Unmet Needs Proposals	626,671	714,108	1,340,779		43
44						44
45	Total Sources	-382,677	1,981,974	1,599,297		45
46						46
47	Total 1998-99 Balance	-1,009,348	1,267,866	258,518		47

#1 This plan assumes \$3,000,000 need for Unix and IO upgrades - use \$280,000 of one-time campus funds, \$220,000 of the 98-99 Academic Technology one-time allocation (\$987,600) and financing the balance of \$2,500,000 over 4 years.