

General Fund Operating Budget  
1998/99 Sources and Uses of Funds

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**Executive Summary of Changes in Revenues**  
**1998/99 General Fund Sources & Uses and Approved Unmet Needs**

**Section 1: Base Budget Summary of Changes**

1998-99 New Fund - Base	0
Less:	
97-98 General Fund Imbalance (50%)	0
98-99 CSU Mandated Uses	0
98-99 Cal Poly Pre-Commitments	0
98-99 Unmet Needs-Base	-626,671
 Total, 1998-99 Base Uses	 -626,671
 1998-99 General Fund Imbalance	 -626,671
1998-99 Budget Reduction effective 7/1/98	159,887
 1998-99 General Fund Balance- Base	 (466,784)

**Section 2: One-Time Funds Budget Summary**

1997-98 Balance Forward - One Time (Operating)	2,249,339
 97-98 General Fund-Base Imbalance (50%) (#2)	 0
98-99 Cal Poly Pre-Commitments, One-time	-267,365
98-99 Unmet Needs, One-time	-714,108
 Total, 1998-99 One-time Uses	 -981,473
 1998-99 General Fund Balance, One-time (Operating)	 1,267,866
 1998-99 CSU One-time Allocations - Special initiatives	
Library	-
Instructional Replacement Equipment	492,600
Academic Technology ( #1)	1,365,000
Physical Plant Maintenance	987,600
Teacher Preparation	173,600
Additional Enrollment	76,000
Approved Uses of these funds (See One-Time Special Initiatives)	(670,000)
 Total, 1998-99 CSU One-time Allocations	 2,424,800
 Total, One-time Funds to be Allocated	 3,692,666

#1 This plan assumes \$1,000,000 need for Unix and IO upgrades - use \$280,000 of one-time campus funds, \$220,000 of the 98-99 Academic Technology one-time allocation (\$987,600) and financing the balance of \$500,000 over 3 years.

#2 It is assumed that effective 7/1/99 this base budget imbalance will be eliminated with an allocation of 98-99 new base revenues.

<b>1998-99 Summary of General Fund Budget Allocation by Division</b>						
<i>Description</i>	<i>1998/99 Preliminary Base</i>		<i>1998/99 New Funds</i>		<i>1998/99 Final allocations @ 9/2/98</i>	<i>%</i>
<b>Summary:</b>						
Academic Affairs	90,640,967	65.3%	328,392	30.8%	90,969,359	64.7%
Financial Aid	5,916,972	4.3%	24,416	0.9%	5,941,388	4.2%
ITS	9,316,591	6.7%	638,228	32.9%	9,954,819	7.2%
Admin and Finance	14,564,588	10.5%	69,417	4.6%	14,634,005	10.4%
Utilities	3,680,418	2.7%	0	0.0%	3,680,418	2.6%
Special Repair	1,119,000	0.8%	283,000	11.0%	1,402,000	1.0%
University Advancement	1,813,659	1.3%	13,655	0.6%	1,827,314	1.3%
Student Affairs	7,253,570	5.2%	93,342	4.0%	7,346,912	5.2%
Executive Management	1,545,654	1.1%	28,900	3.2%	1,574,554	1.2%
Cal Poly Plan	1,513,755	1.1%	21,735	0.8%	1,535,490	1.1%
Risk Pool	1,474,479	1.1%	289,000	11.2%	1,763,479	1.2%
	138,839,653		1,790,085		140,629,738	
Compensation/Benefits Adj	3,787,402		5,650,200		9,437,602	***
Contingency	500,000		0		500,000	
Unallocated Base	-1,698,896		849,462		-849,434	
<b>Total</b>	<b>141,428,159</b>		<b>8,289,747</b>		<b>149,717,906</b>	
<b>Revenue</b>	<b>-32,031,019</b>		<b>446,537</b>		<b>(\$31,584,482)</b>	
	<b>109,397,140</b>		<b>8,736,284</b>		<b>118,133,424</b>	
***98-99 Compensation not allocated yet.						

## *1998-99 CSU One-time Allocations for Special Initiatives*

### **Sources**

Library	492,600	
Instructional Replacement Equipment	1,365,000	
Academic Technology	987,600	
Physical Plant Maintenance	1,360,000	
Teacher Preparation Additional Enrollment	249,600	
<b>Total 1998-99 CSU One-time Sources</b>		<b>4,454,800</b>

### **Uses**

Acad Tech - Unix Contribution	220,000	
Acad Tech - Faculty Workstations	250,000	
Intstr Repl Eq - One-time Enrollment	200,000	
<b>Total 1998-99 CSU One-time Uses</b>		<b>670,000</b>

### **Balance**

Library	492,600	
Instructional Replacement Equipment	1,165,000	
Academic Technology	517,600	
Physical Plant Maintenance	1,360,000	
Teacher Preparation Additional Enrollment	249,600	
<b>Total 1998-99 CSU One-time Balance</b>		<b>3,784,800</b>

## Summary of 1998/99 Sources and Uses Process

(Based on Final Budget Allocation BP 98-05)

("Scenario B" - 50% of \$1.7M imbalance funded from Base)

(Postpone 50% of perm cut to 1999/2000)

Line No.	1998-99			Future Commitments			Line No.
	Base Funds	1998-99 One- Time Funds	Total	1999-2000	2000-2001	2001-2002	
1	\$ (382,677)	\$ -	\$ (382,677)	(177,420)	(44,000)	229,000	1
2	\$ -	\$ 1,981,974	\$ 1,981,974	(678,000)	(63,000)	-	2
3	\$ 849,461	\$ (849,461)	\$ -				3
4	<b>\$ 466,784</b>	<b>\$ 1,132,513</b>	<b>\$ 1,599,297</b>	<b>(855,420)</b>	<b>(107,000)</b>	<b>229,000</b>	4
5							5
6	\$ 626,671	\$ 714,108	\$ 1,340,779				6
7							7
8	<b>\$ (159,887)</b>	<b>\$ 418,405</b>	<b>\$ 258,518</b>				8
9							9
10	\$ 159,887	\$ -	\$ 159,887				10
11							11
12	<b>\$ (0)</b>	<b>\$ 418,405</b>	<b>\$ 418,405</b>				12

13 13

\*\* 1999-2000 revenue includes the estimated increase based on a 2% enrollment increase over 98-99 budgeted enrollment targets of 15,520. The additional 2% enrollment FTE is estimated to be 310. Based on the 98-99 funding level of \$5,833 per FTE the estimated additional revenue would be \$1,808,230. (See Exhibit A for detail)

## Changes to the 1998/99 Base Budget Process

1 Sources			Future Commitments			1	
	Base as of 7/1/98		1999-2000	2000-2001	2001-2002		2
2 <b>CSU Changes to Base</b>						2	
3 Allocated State Tax Revenues (B 98-05)	141,428,159	8,823,128	** 1,808,230			3	
4 1998-99 SUG Adjustment		-236,000				4	
5 1997-98 Benefit Adjustment		<u>149,200</u>				5	
6 Total, CSU Revenue Increase					8,736,328	6	
7 Cal Poly Plan: Academic Fee Revenue (\$45/qtr.)		21,735				7	
8 Other Campus Revenues and Reimbursements	(32,031,018)	<u>-728,688</u>				8	
9 Total, Campus Revenue Adjustment					-706,953	9	
10 <b>Cal Poly Unscheduled Base</b>						10	
11 97/98 Unscheduled Base Budget		-1,698,922	(849,461)		229,000	11	
12 Total, Unscheduled Base		<u>-1,698,922</u>				12	
13 <b>Total Sources</b>			<b>6,330,453</b>	<b>958,769</b>	<b>-</b>	<b>229,000</b>	13
14 <b>Uses, Mandated for CSU</b>						14	
15 Compensation Increases		5,501,000				15	
16 Physical Plant Maintenance	1,119,000	283,000				16	
17 1998-99 Sug Adjustment		-236,000				17	
18 1997-98 Benefit Adjustment		149,200				18	
19 Risk Pool	1,474,479	289,000				19	
20 Technology Allocation	0	<u>272,000</u>				20	
21 Total, CSU Mandated Uses					6,258,200	21	
22 <b>Uses, Cal Poly</b>						22	
23 <b>Reaffirm Continuing Commitments</b>						23	
24 Cal Poly Plan		21,735				24	
25 Faculty Work Stations	653,000	100,000				25	
26 IBM Lease Payment (#1 of 3)		229,000				26	
27 Unix/IO Upgrades (4 installments) (Footnote #1)			631,612	44,000		27	
28 Director Foundation Corporate and Finance		0	150,000			28	
29 Sports Complex-Operations, Maintenance & Utilities	0	88,195	254,577			29	
30 Advanced Technology Lab (Maint and Utilities)		0	100,000			30	
31 Total, Continuing Commitments					438,930	31	
32 <b>Centrally Administered Budgets</b>						32	
33 None	-	0				33	
34 Total, Centrally Administered Budgets					0	34	
35 <b>Full Year Cost for Prior Year Commitments</b>						35	
36 University Relations		<u>16,000</u>				36	
37 Total, Full Year Costs					16,000	37	
38 <b>Legal Judgements/Settlements</b>						38	
39 None		<u>0</u>				39	
40 Total, Legal Judgements/Settlements					0	40	
41 Total, Cal Poly Pre-committed Uses					454,930	41	
42 <b>Total Pre-Committed Uses</b>			<b>6,713,130</b>	<b>1,136,189</b>	<b>44,000</b>	<b>-</b>	42
43 <b>Net Base Sources and Uses available for 1998/99 Unmet Needs</b>			<b>-382,677</b>	<b>(177,420)</b>	<b>(44,000)</b>	<b>229,000</b>	43

\*\*1999-2000 revenue reflects the estimated increase based on a 2% enrollment increase over 98-99 budgeted enrollment target of 15,520. The additional 2% enrollment FTE is estimated at 1,808,230.

#1 This plan assumes \$3,000,000 need for UNIX and IO upgrades - use \$280,000 of one-time campus funds, \$220,000 of the 98-99 Academic Technology one-time allocation (\$987,000).

## Changes to the 1998/99 One-time Funds (Operating)

		Future Commitments			
		1999-2000	2000-2001	2001-2002	
1	<b>Sources</b>				1
2	Projected 1997-98 Year-end Balances				2
3	Contingency Balance				3
4	97-98 Compensation Balance				4
5	97-98 Excess Revenue Balance (Scheduled)				5
6	96-97 Misc General Fund Balances				6
7	97-98 University Advancement General Fund Balance				7
8	97-98 Student Affairs General Fund Balance				8
9	97-98 Misc General Fund Balances				9
10	97-98 Cal Poly Plan				10
11	<b>Total 1997-98 Year-end Balances</b>	<b>2,249,339</b>			11
12					12
13	<b>Uses, Cal Poly</b>				13
14	<b>Reaffirm Continuing Commitments</b>				14
15	Sports Complex - Maintenance Equipment	170,625			15
16	UCTE/Student Affairs Liaison (C. Wallace)	80,038			16
17	Cal Poly Plan	16,702			17
18	BSR/Campaign	0	478,000	63,000	18
19	<b>Total, Continuing Commitments</b>	<b>267,365</b>	<b>478,000</b>	<b>63,000</b>	19
20	<b>Centrally Administered Budgets</b>				20
21	Whale Rock Capital Cost	0	200,000		21
22	<b>Total, Centrally Administered Budgets</b>	<b>0</b>	<b>200,000</b>	<b>-</b>	22
23	<b>Full Year Cost for Prior Year Commitments</b>				23
24		0			24
25	<b>Total, Full Year Costs</b>	<b>0</b>	<b>-</b>	<b>-</b>	25
26	<b>Legal Judgements/Settlements</b>				26
27		0	-	-	27
28	<b>Total, Legal Judgements/Settlements</b>	<b>0</b>	<b>-</b>	<b>-</b>	28
29	<b>Total Pre-Committed Uses</b>	<b>267,365</b>	<b>678,000</b>	<b>63,000</b>	29
30	<b>Net One-Time Sources and Uses available for 1998-99 Unmet Needs</b>	<b>1,981,974</b>	<b>(678,000)</b>	<b>(63,000)</b>	30

# Approved 1998/99 Campus Allocations for Unmet Needs

(By President Baker 8/31/98)

Line No.	Description	Base Budget Adjustmen	One Time Allocation	Totals	Budget Location, Comments	Line No.
1	<i>University CMS Project</i>					1
2	BPR Consultant	0	0	0		2
3	Campus Staffing - Programming Support	0	0	0		3
4	Campus Staffing - User Staffing Backfil	0	0	0		4
5	Readiness Assessment	0	0	0		5
6	Data Conversion	0	0	0		6
7	CMS Project Director	0	0	0		7
8	Infrastructure Support	0	0	0		8
9	Software/Maintenance	0	0	0		9
10	subtotal, University CMS Project	0	0	0	Alloc of approx \$1.9M deferred	10
11	<i>Academic Affairs</i>					11
12	Fire/Intrusion Alarm Technician (70%)	0	0	0	\$55,500 Deferred Maintenance	12
13	Y2K Programming	0	58,976	58,976		13
14	I/O Upgrades/UNIX Lease Payment (Footnote #1)	0	280,000	280,000	Comb w/\$220,000 of Acad Tech	14
15	Faculty Instructional Development	50,000	0	50,000		15
16	Marching Band	0	50,000	50,000		16
17	Technology Support for WASC	0	29,132	29,132	2-yr Commitment	17
18	Athletics Gender Equity (Title 9 Compliance)	45,000	0	45,000	\$44,000 funded from compensation	18
19	Admissions and Recruitment	100,000	0	100,000		19
20	Enrollment Growth	300,000	0	300,000	\$200,000 to IER One-Time	20
21	subtotal, Academic Affairs	495,000	418,108	913,108		21
22	<i>University Advancement</i>					22
23	Director of Corporate/Foundation Relations	0	0	0	99-2000 funding, \$150K from State	23
24	Centennial Campaign/BSR	0	167,000	167,000	Additional cost in future years	24
25	subtotal, University Advancement	0	167,000	167,000	\$167,000 97-98 balance applied	25
26	<i>Student Affairs</i>					26
27	Rose Float	10,000	0	10,000		27
28	Psychological Services - Video Camera	0	0	0		28
29	Disabled Resource Center - Van	0	19,000	19,000	\$14,748 97-98 balance applied	29
30	Ombudsman, Compliance and Equity Programs Offices	92,771	10,000	102,771		30
31	Health Services - replace equipment	0	0	0		31
32	Career Services - Software License	0	0	0		32
33	Assessment and Testing - Completion of Office Remodel	0	0	0		33
34	subtotal, Student Affairs	102,771	29,000	131,771		34
35	<i>Administration and Finance</i>					35
36	Maintenance for Emergency Generators	0	0	0	\$30,000 Deferred Maintenance	36
37	University Master Plan	0	100,000	100,000	Additional cost in future years	37
38	subtotal, Administration and Finance	0	100,000	100,000		38
39	<i>President's Staff</i>					39
40	University Summer Grant Program	0	0	0	\$25,000 Foundation	40
41	Executive Management	28,900	0	28,900		41
42	subtotal, President's Staff	28,900	0	28,900		42
43	<b>Totals, Unmet Needs Proposals</b>	<b>626,671</b>	<b>714,108</b>	<b>1,340,779</b>		43
44						44
45	<b>Total Sources</b>	<b>-382,677</b>	<b>1,981,974</b>	<b>1,599,297</b>		45
46						46
47	<b>Total 1998-99 Balance</b>	<b>-1,009,348</b>	<b>1,267,866</b>	<b>258,518</b>		47

#1 This plan assumes \$3,000,000 need for Unix and IO upgrades - use \$280,000 of one-time campus funds, \$220,000 of the 98-99 Academic Technology one-time allocation (\$987,600) and financing the balance of \$2,500,000 over 4 years.